### CSTBC Homework 1 Solutions

### 19th June 2007

# 1 How Many?

Let  $A = \{n \mid 1 \le n \le 2007 \text{ and } n \text{ is divisible by 2 or 5}\}$ . Compute |A|. (Hint: let

$$B = \{n \mid 1 \le n \le 2007 \text{ and } n \text{ is divisible by } 2\}$$

and

$$C = \{n \mid 1 \le n \le 2007 \text{ and } n \text{ is divisible by } 5\}.$$

What are |B|, |C|, and  $|B \cap C|$ ?)

**Solution** Because every other number is even, there are 2006/2 = 1003 even numbers in the set  $\{1, 2, ..., 2006\}$ . Because 2007 is odd, there are also 1003 even numbers in the set  $\{1, 2, ..., 2007\}$ . Therefore |B| = 1003. Similarly, every fifth number in the set  $\{1, 2, ..., 2005\}$  is divisible by 5, so that there are 2005/5 = 401 numbers in  $\{1, 2, ..., 2005\}$  that are divisible by 5. Because 2006 and 2007 are not divisible by 5, we conclude that |C| = 401.

Note that an integer n is divisible by 2 and 5 if and only if n is divisible by 10. Therefore  $B \cap C$  is the set of all integers  $1 \le n \le 2007$  that are divisible by 10. Using the same technique as before, we conclude that  $|B \cap C| = 200$ . Because  $A = B \cup C$ , we use an identity from Lecture 1 to conclude

$$|A| = |B \cup C| = |B| + |C| - |B \cap C| = 1003 + 401 - 200 = 1204.$$

# 2 Injective? Surjective?

For each function below, determine whether the function  $f:A\to B$  is bijective, injective but not surjective, surjective but not injective, or neither injective nor surjective. In problems 6-8,  $n\geq 1$  is an integer.

- 1.  $A = \{0, 1, 2, \ldots\}, B = \{0, -1, -2, \ldots\}, f(n) = -n$
- 2.  $A = \{0, 1, 2, \ldots\}, B = \{0, 1, 2, \ldots\}, f(n) = n + 1.$
- 3.  $A = \{0, 1, 2, \ldots\}, B = \{1, 2, 3, \ldots\}, f(n) = n + 1.$
- 4.  $A = \{\ldots, -2, -1, 0, 1, 2, \ldots\}, B = \{0, 1, 2, \ldots\}, f(n) = n^2.$
- 5.  $A = \{\ldots, -2, -1, 0, 1, 2, \ldots\}, B = \{0, 1, 2, \ldots\}, f(n) = |n|$ . (Recall that for a real number x, we denote the absolute value of x by |x|. That is, if  $x \ge 0$ , then |x| = x and |x| = -x otherwise.)
- 6.  $\mathcal{U} = \{1, 2, ..., n\}, A = B = \mathcal{P}(\mathcal{U}), f(S) = \overline{S}.$
- 7.  $\mathcal{U} = \{1, 2, \dots, n\}, A = B = \mathcal{P}(\mathcal{U}), f(S) = S \cup \{1\}.$
- 8.  $\mathcal{U} = \{1, 2, \dots, n\}, A = B = \mathcal{P}(\mathcal{U}),$

$$f(S) = \left\{ \begin{array}{ll} S \cup \{1\} & 1 \not\in S \\ S - \{1\} & 1 \in S \end{array} \right..$$

### Solution

- 1. bijective
- 2. injective but not surjective (nothing maps to  $0 \in B$ )
- 3. bijective
- 4. neither
- 5. surjective but not injective
- 6. bijective
- 7. neither
- 8. bijective

## 3 An Injection

Let  $n \ge 1$  be an integer, let  $\mathcal{U} = \{1, 2, ..., n\}$ , and let  $\mathcal{A} = \{A \subseteq \mathcal{U} \mid |A| = k\}$ ; that is,  $\mathcal{A}$  consists of all the sets  $A \subseteq \mathcal{U}$  which have size k. Construct an injection  $f : \mathcal{A} \to \mathcal{U}^k$ . What can we conclude about  $|\mathcal{A}|$ ?

**Solution** Consider an element  $A \in \mathcal{A}$ . We must choose an element  $f(A) \in \{1, 2, ..., n\}^k$  in such a way that f is injective. Because  $A \in \mathcal{A}$ , A contains k elements; define  $a_1, ..., a_k$  so that  $A = \{a_1, a_2, ..., a_k\}$ . We set  $f(A) = (a_1, a_2, ..., a_k)$ . Let us check that f is an injective function. If  $A_1 \neq A_2$ , then there is an element  $j \in \mathcal{U}$  such that either  $j \in A_1 - A_2$  or  $j \in A_2 - A_1$ . Hence j will appear in exactly one of the k-tuples  $f(A_1)$  and  $f(A_2)$ , and so  $f(A_1) \neq f(A_2)$ .

Because  $f: A \to \mathcal{U}^k$  is an injection, we conclude that  $|A| \leq |\mathcal{U}^k| = n^k$ .

# 4 Pairwise Disjoint Families

Let  $n \ge 1$  be an integer and let  $\mathcal{U} = \{1, 2, \dots, n\}$ . We say that a family  $\mathcal{A} \subseteq \mathcal{P}(\mathcal{U})$  of sets is pairwise disjoint if, for each pair of sets  $A, B \in \mathcal{A}$ , we have that A and B are disjoint (that is,  $A \cap B = \emptyset$ ).

- 1. Prove that if  $A \subseteq \mathcal{P}(\mathcal{U})$  is a pairwise disjoint family of sets, then  $|A| \leq n+1$ .
- 2. Find a pairwise disjoint family  $\mathcal{A} \subset \mathcal{P}(\mathcal{U})$  with  $|\mathcal{A}| = n + 1$ .
- 3. Besides the family  $\mathcal{A}$  that you found in part (2), are there any other pairwise disjoint families  $\mathcal{B} \subseteq \mathcal{P}(\mathcal{U})$  with  $|\mathcal{B}| = n + 1$ ?

### Solution

1. Let  $A \subseteq \mathcal{P}(\mathcal{U})$  be a pairwise disjoint family, and let  $\mathcal{B} = \mathcal{A} - \{\emptyset\}$ . Because we obtain  $\mathcal{B}$  from  $\mathcal{A}$  by removing at most one of the sets in  $\mathcal{A}$ , we have that  $|\mathcal{B}| \geq |\mathcal{A}| - 1$ , or equivalently  $|\mathcal{A}| \leq |\mathcal{B}| + 1$ . Therefore, it suffices to show that  $|\mathcal{B}| \leq n$ . Define  $B_1, B_2, \ldots, B_k$  so that  $\mathcal{B} = \{B_1, B_2, \ldots, B_k\}$ ; note that  $|\mathcal{B}| = k$ . Define  $B = B_1 \cup B_2 \cup \cdots \cup B_k$ . Because  $\mathcal{B} \subseteq \mathcal{A}$  and  $\mathcal{A}$  is a pairwise disjoint family,  $\mathcal{B}$  is also pairwise disjoint. Hence,  $\mathcal{B}$  is the disjoint union of the sets  $B_1, B_2, \ldots, B_k$  and therefore  $|B_1| + |B_2| + \cdots + |B_k| = |\mathcal{B}|$ . Of course,  $\emptyset \notin \mathcal{B}$  and so each set  $B_j$ ,  $1 \leq j \leq k$ , has size at least one. Also, because  $\mathcal{B} \subseteq \mathcal{U}$ ,  $|\mathcal{B}| \leq |\mathcal{U}| = n$ . It follows that

$$k \le |B_1| + |B_2| + \dots + |B_k| = |B| \le n$$

and so  $|\mathcal{B}| = k \leq n$ .

- 2. Let  $\mathcal{A} = \{\emptyset, \{1\}, \{2\}, \dots, \{n\}\}\$ ; clearly,  $\mathcal{A}$  is pairwise disjoint and  $|\mathcal{A}| = n + 1$ .
- 3. No, there are no other such families. We give two proofs. Our second proof gives another possible solution to part (1).

Proof 1: Let  $\mathcal{A} \neq \{\emptyset, \{1\}, \{2\}, \ldots, \{n\}\}$  be a pairwise disjoint family. For this special case, we strengthen our our proof in part (1) to conclude that  $|\mathcal{A}| \leq n$ . First, suppose that  $\emptyset \notin \mathcal{A}$ . In our proof above, we show that a pairwise disjoint family which does not contain the emptyset has size at most n; hence, if  $\emptyset \notin \mathcal{A}$ , then  $|\mathcal{A}| \leq n$ . Otherwise, suppose that  $\emptyset \in \mathcal{A}$ , write  $\mathcal{A} = \{\emptyset, A_1, \ldots, A_k\}$ , and let  $A = A_1 \cup \cdots \cup A_k$ . If for some set  $A_j$  we have  $|A_j| \geq 2$ , then similarly to our proof above, we have  $k+1 \leq |A_1| + \cdots + |A_k| = |A| \leq n$ , and so  $|\mathcal{A}| = k+1 \leq n$ . Finally, if each of the sets  $A_j$  have size at most one, then  $\mathcal{A} \neq \{\emptyset, \{1\}, \{2\}, \ldots, \{n\}\}$  forces  $|\mathcal{A}| \leq n$ .

Proof 2: Let  $\mathcal{A}$  be a pairwise disjoint family of subsets of  $\mathcal{U}$  whose size is as large as possible; in other words, let  $\mathcal{A}$  be a maximum pairwise disjoint family of subsets of  $\mathcal{U}$ . Note that if  $\mathcal{A}$  is a pairwise disjoint family,  $\mathcal{A} \cup \{\emptyset\}$  is also a pairwise disjoint family. Because  $\mathcal{A}$  is a largest pairwise disjoint family, it must be that  $\emptyset \in \mathcal{A}$ . Next, note that if  $A \in \mathcal{A}$ , then  $|A| \leq 1$ . Indeed, if  $|A| \geq 2$ , then we can partition A into two nonempty subsets; that is, we can find sets B and C with  $A = B \cup C$  and  $B \cap C = \emptyset$ . In this case,  $(\mathcal{A} - \{A\}) \cup \{B, C\}$  is a pairwise disjoint family that is larger than  $\mathcal{A}$ , which is impossible. Therefore  $\mathcal{A}$  consists of the emptyset plus some of the singleton sets. If  $\mathcal{A}$  is missing any of the singleton sets, we could add these sets and find a larger pairwise disjoint family, also impossible. It follows that  $\mathcal{A} = \{\emptyset, \{1\}, \{2\}, \ldots, \{n\}\}$ .

## 5 More Pairwise Intersecting Families

Let  $n \geq 3$  be an integer and let  $\mathcal{U} = \{1, 2, \dots, n\}$ . Recall from lecture 1 that a family  $\mathcal{A} \subseteq \mathcal{P}(\mathcal{U})$  of sets is pairwise intersecting if, for each pair of sets  $A, B \in \mathcal{A}$ , we have that  $A \cap B \neq \emptyset$ . In lecture 1, we saw that if  $\mathcal{A} \subseteq \mathcal{P}(\mathcal{U})$  is pairwise intersecting, then  $|\mathcal{A}| \leq 2^{n-1}$ . We also found that  $\mathcal{A} = \{A \subseteq \mathcal{U} \mid 1 \in A\}$  is an example of a pairwise intersecting family of size  $2^{n-1}$ , but this family has the property that there exists an element  $j \in \mathcal{U}$  (namely, j = 1), such that for each  $A \in \mathcal{A}$ ,  $j \in A$ .

Construct a pairwise intersecting family  $\mathcal{B} \subseteq \mathcal{P}(\mathcal{U})$  of size  $|\mathcal{B}| = 2^{n-1}$  which fails to have this property. That is, you are asked to find a family  $\mathcal{B} \subseteq \mathcal{P}(\mathcal{U})$  with the following properties:

- 1.  $\mathcal{B}$  is pairwise intersecting,
- 2.  $|\mathcal{B}| = 2^{n-1}$ , and
- 3. for each  $j \in \mathcal{U}$ , there exists some  $B \in \mathcal{B}$  such that  $j \notin B$ .

(Hint: you may find the proof that  $|\mathcal{B}| < 2^{n-1}$  helpful.)

**Solution** As we saw in Lecture 1, complementation groups the subsets of  $\mathcal{U}$  into  $r=2^{n-1}$  complementary pairs. Let  $\mathcal{S}_1,\ldots,\mathcal{S}_r$  be the complementary pairs of  $\mathcal{P}(\mathcal{U})$ , so that for each  $1 \leq j \leq r$ ,  $\mathcal{S}_j = \left\{B,\overline{B}\right\}$  for some set  $B \subseteq \mathcal{U}$ . For each  $1 \leq j \leq r$ , let  $B_j$  be the larger of the two sets in  $\mathcal{S}_j$  (if both sets in  $\mathcal{S}_j$  have the same size, choose  $B_j$  arbitrarily). Note that because  $|B| + |\overline{B}| = n$ , we have that  $|B_j| \geq n/2$ . Let  $\mathcal{B} = \{B_1, B_2, \ldots, B_r\}$ ; clearly  $|\mathcal{B}| = r = 2^{n-1}$ , so (2) is satisfied. We claim that  $\mathcal{B}$  is a pairwise intersecting family. Consider  $B_i, B_j \in \mathcal{B}$  and suppose for a contradiction that  $B_i$  and  $B_j$  do not intersect. In this case,

$$|B_i \cup B_j| = |B_i| + |B_j| \ge n/2 + n/2 = n,$$

and so  $\mathcal{U} = B_i \cup B_j$ . That is,  $\{B_i, B_j\}$  is a complementary pair. But  $\mathcal{B}$  selects just one set from each of the complementary pairs, so it is impossible that both  $B_i$  and  $B_j$  are members of  $\mathcal{B}$ ; this is a contradiction. Therefore it must be that  $B_i$  and  $B_j$  intersect after all. Therefore (1) is satisfied.

It remains to check that (3) is satisfied. In fact, if  $n \geq 3$ , then  $\mathcal{B}$  satisfies (3). Consider  $j \in \mathcal{U}$ ; note that  $\mathcal{S} = \left\{ \{j\}, \overline{\{j\}} \right\}$  is a complementary pair. When  $n \geq 3$ ,  $\left| \overline{\{j\}} \right| = n - 1 > 1$ , and therefore  $j \notin \overline{\{j\}} \in \mathcal{B}$ . (For  $n \in \{1, 2\}$ , it is impossible to obtain a family  $\mathcal{B}$  which satisfies (1), (2), and (3).)